



Self-regulation of stress-related large-scale brain network balance using real-time fMRI neurofeedback

Florian Krause^{a,*}, Nikos Kogias^a, Martin Krentz^a, Michael Lührs^{b,c}, Rainer Goebel^{b,c}, Erno J. Hermans^a

^a Donders Institute for Brain, Cognition and Behaviour, Radboud University Medical Center, Nijmegen, the Netherlands

^b Department of Cognitive Neuroscience, Maastricht University, Maastricht, the Netherlands

^c Department of Research and Development, Brain Innovation B.V., Maastricht, the Netherlands

ARTICLE INFO

Keywords:

Real-time fMRI
Neurofeedback
Large-scale brain networks
Stress
Resilience

ABSTRACT

It has recently been shown that acute stress affects the allocation of neural resources between large-scale brain networks, and the balance between the executive control network and the salience network in particular. Maladaptation of this dynamic resource reallocation process is thought to play a major role in stress-related psychopathology, suggesting that stress resilience may be determined by the retained ability to adaptively reallocate neural resources between these two networks. Actively training this ability could hence be a potentially promising way to increase resilience in individuals at risk for developing stress-related symptomatology. Using real-time functional Magnetic Resonance Imaging, the current study investigated whether individuals can learn to self-regulate stress-related large-scale network balance. Participants were engaged in a bidirectional and implicit real-time fMRI neurofeedback paradigm in which they were intermittently provided with a visual representation of the difference signal between the average activation of the salience and executive control networks, and tasked with attempting to self-regulate this signal. Our results show that, given feedback about their performance over three training sessions, participants were able to (1) learn strategies to differentially control the balance between SN and ECN activation on demand, as well as (2) successfully transfer this newly learned skill to a situation where they (a) did not receive any feedback anymore, and (b) were exposed to an acute stressor in form of the prospect of a mild electric stimulation. The current study hence constitutes an important first successful demonstration of neurofeedback training based on stress-related large-scale network balance – a novel approach that has the potential to train control over the central response to stressors in real-life and could build the foundation for future clinical interventions that aim at increasing resilience.

1. Introduction

Our body's response to acute stress constitutes an essential adaptive mechanism that helps us to properly evaluate and react to potential threats in our environment (de Kloet et al., 2005). However, repeated exposure to stressors can also lead to maladaptation and mental disorders (Kalisch et al., 2015). Stress related mental illness, such as depression and anxiety disorders, are among those with the highest disease burden and efforts to reduce their high prevalence have remained largely unsuccessful (Rehm and Shield, 2019; Vos et al., 2017). This has triggered a paradigm shift from treatment to prevention-oriented health research over the last years, with a steadily increasing interest in resilience – an individual's ability to positively adapt to being exposed to a stressor (Kalisch et al., 2015).

Recent neuroimaging research has revealed how acute stress affects the human brain at the systems level, with stress-related hormones and neurotransmitters triggering shifts in large-scale brain network configurations (Hermans et al., 2014, 2011; van Oort et al., 2017). In particular, stress appears to induce a shift in the balance between the salience network (SN), which integrates cognitive processes associated with salient stimuli, including bottom-up attention (Seeley et al., 2007), and the executive control network (ECN), which regulates higher-order cognitive functions such as working memory and top-down attention (Vincent et al., 2008). It is believed that during the acute stress phase, neural resources are reallocated to strengthen SN activity at the cost of ECN function (Young et al., 2017) – a balance shift that is subsequently actively reversed to return to homeostasis (Hermans et al., 2014). Maladaptation of this dynamic resource reallocation process is thought to play a major role in stress-related psychopathology (Akiki et al., 2017; van Oort et al., 2017; Menon, 2011), suggesting that stress resilience may be determined by the retained ability to adaptively reallocate neural resources between these two networks. Actively training this ability

* Corresponding author.

E-mail address: f.krause@donders.ru.nl (F. Krause).

<https://doi.org/10.1016/j.neuroimage.2021.118527>.

Received 16 April 2021; Received in revised form 23 August 2021; Accepted 25 August 2021

Available online 29 August 2021.

1053-8119/© 2021 The Authors. Published by Elsevier Inc. This is an open access article under the CC BY license (<http://creativecommons.org/licenses/by/4.0/>)

could hence be a potentially promising way to increase resilience in individuals at risk for developing stress-related symptomatology.

In the current study, we investigated training the voluntary reallocation of neural resources between SN and ECN using real-time fMRI neurofeedback (rtfMRI-NF), as a potential mean to increase stress resilience. Following a short localizer session from which subject-specific network masks were defined, healthy participants were each engaged for three separate sessions in a bidirectional, implicit and intermittent rtfMRI-NF paradigm in which the difference signal between the average activations in the individualized SN and ECN masks was coupled to the size of a visual stimulus on the screen. Participants were not given any details of this setup other than the instruction that they could learn to control the size of the stimulus *with their brain*. In a subsequent transfer session, participants performed the same bidirectional self-regulation task, but had to apply their learned strategies in the absence of any feedback and, in addition, were exposed to an acute stressor (mild electric stimulation) in some of the trials (McMenamin et al., 2014). We hypothesized that participants are able to (1) learn to self-regulate SN-ECN activation balance, and (2) apply learned regulation strategies in (a) the absence of feedback and (b) in prospect of an acute stressor. Through analysis of participants' self-evaluation as well as additional exploration of whole-brain activations during regulation, we aimed to gain further insights into how the tested group of participants achieved self-regulation of the feedback signal.

2. Method

2.1. Participants

Eleven healthy volunteers (6 females, 5 males; all recruited at Radboud University and Radboud University Medical Centre, Nijmegen, the Netherlands) aged between 19 and 40 years (mean = 25.73; SD = 5.87) participated in the study in return for a monetary reward of 104–129 €. All of them had normal or corrected to normal vision and had no known neurological or psychological disorders. Exclusion criteria included MRI contraindications, such as the presence of electronic or ferromagnetic body implants and a prior history of claustrophobia or panic attacks. Only non-native speakers of English were included, to ensure that all participants were at approximately the same level of language proficiency when receiving instructions and answering questionnaires in English. Before the study, participants received general information about fMRI neurofeedback as well as study-specific information pertaining to the scheduling of the study and a short description of the experimental task, and were informed that the three best performers over the whole study would receive an extra monetary bonus of 25 €. Participants were instructed to refrain from the use of recreational drugs, have a good rest the night before each experimental session, and to abstain from consuming caffeinated or alcoholic drinks, and smoking six hours prior to each experimental session. Due to technical problems, one participant had to be excluded after the second experimental session. The remaining 10 participants (5 females, 5 males; between 19 and 40 years of age, mean = 25.80, SD = 6.18) completed all experimental sessions. The study was approved by the local ethics committee, and participants gave their written informed consent before the procedure.

2.2. Design

The study consisted of five consecutive experimental sessions (see Fig. 1), each on a different day, with 1 to 19 days between the first and the second session (mean = 7.45, SD = 5.68), 1 to 16 days between the second and the third session (mean = 7.20, SD = 5.67), 1 and 13 days between the third and the fourth session (mean = 5.60, SD = 4.09), and 1 and 7 days between the fourth and the fifth session (mean = 4.30, SD = 2.63). The first session (*Localizer*) entailed an anatomical MRI recording, followed by two functional MRI runs (each

300 volumes), with the first being a resting state run used for individualizing network templates, and the second being a passive viewing of pseudo-randomized presentations of five repetitions (each 10 s) of the experimental stimuli used in later sessions to collect baseline pupil responses. Subsequently, a set of questionnaires was administered outside the MR scanner. The following three sessions (*Training*) were identical to each other, and each comprised an anatomical MRI recording, followed by seven to eight functional MRI neurofeedback runs (each 600 volumes). Each functional run consisted of a long rest phase (34 s) at the beginning, and 16 consecutive self-regulation attempts. Each self-regulation attempt began with a “larger” or “smaller” regulation block (16 s); indicating self-regulation direction; see also Stimuli and Procedure below), followed by “delay” (6 s), “feedback” (4 s) and “rest” (10 s) blocks. In the first and second run of the first Training session, all regulation blocks were of the condition “larger” and “smaller”, respectively, to familiarize participants with the paradigm, while all other runs consisted of an equal number of both conditions in a pseudo-randomized order. The fifth and last session (*Transfer*) involved an anatomical MRI recording, followed by four functional MRI runs, and was included to examine if participants were able to apply learned strategies in the absence of feedback, as well as during the presence of an acute stressor. The first three functional runs entailed self-regulation without feedback (762, 710 and 736 volumes, respectively), with a pseudo-randomized order of “larger”, “smaller”, “larger|threat”, “smaller|threat” and “rest|threat” blocks (each 16 s), intermixed with “rest” blocks (each 10 s). Over all three runs, 10% of the threat blocks (“smaller|threat”, “larger|threat”, “rest|threat”) were replaced by a shock block (“smaller|shock”, “larger|shock”, “rest|shock”), respectively. “Threat” and “shock” variants of the blocks included a non-reinforced and reinforced threat of shock (mild electric stimulation; see also Stimuli and Procedure below). The first run included one replacement for each of the three shock condition, equally spaced over the whole run, with the “rest|shock” block in the middle. In the second run there was one replacement of “rest|shock” block at approximately the middle of the run. The last run included one replacement for each “rest|smaller” and “rest|larger” at the first and last quarter of the run, respectively. The order of the two replacements for “rest|smaller” and “rest|larger” in the first and third run was counterbalanced over participants to control for order effects. The fourth and last functional run was a resting-state run (300 volumes).

3. Materials

3.1. Questionnaires

Administered questionnaires included the 60 item International Personality Item Pool NEO Questionnaire (IPIP-NEO-60; [Maples-Keller et al., 2019](#)), the behavioral inhibition system (BIS) and behavioral activation system (BAS) questionnaires ([Carver and White, 1994](#)), the cognitive emotional regulation questionnaire (C.E.R.Q.; [Garnefski et al., 2001](#)), the trait component of the state-trait anxiety inventory (STAI-trait) ([Spielberger et al., 1968](#)), the thought control questionnaire (TCQ; [Wells and Davies, 1994](#)), and Beck's depression inventory II (BDI-II; [Beck et al., 1996](#)). Questionnaires were part of a standard battery to identify severely depressed participants (BDI-II), and to add to a larger body of explorative data for generating potential hypotheses for future studies (remaining questionnaires; see Tables S2–S6 in Supplementary Materials for individual scores).¹

¹ Notably, one individual (participant 6) scored unexpectedly high on the STAI-trait questionnaire (69). Excluding this participant from analysis, however, does not change the interpretation of the results or conclusions drawn in this study.

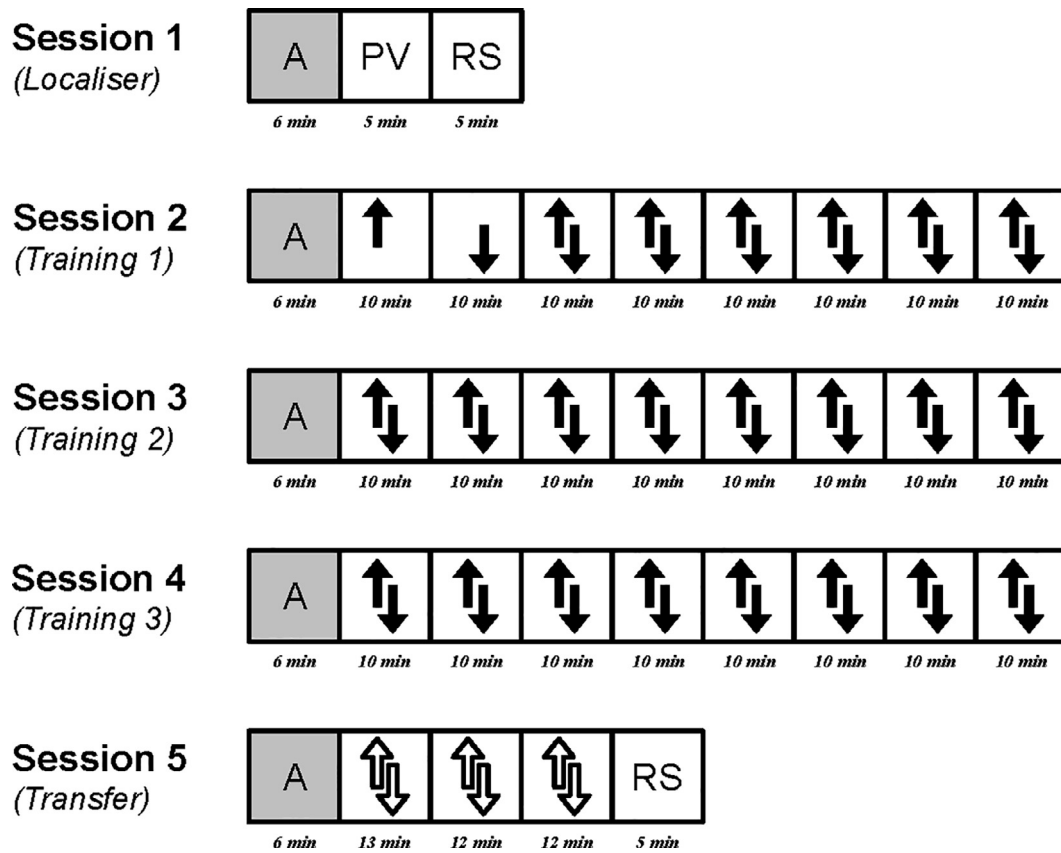


Fig. 1. Overview of MRI runs during each of the five experimental sessions. Each session started with the acquisition of an anatomical recording (A). The first session (Localiser) included two short functional runs: passive viewing of the experimental stimuli (PV) and resting state (RS). The second session (Training 1) started with two functional runs in which participants were asked to either increase and decrease the circle, respectively, followed by up to six further runs in which they were asked to do both, in a mixed order, and participants received feedback after each attempt. The next two sessions (Training 2 and Training 3) each consisted of up to eight of these mixed runs. In the last session (Transfer) participants were asked to increase and decrease the size of the circle with the strategies learned during the training, but without receiving feedback and with the prospect of receiving a mild electric stimulation in 50% of the trials. The session ended with a resting state run.

3.2. Stimuli

Experimental stimuli were created and presented using Expyriment (version 0.9.0; Krause and Lindemann, 2014), running on a computer designated for stimulus presentation. The neurofeedback display consisted of a grey disc (red = 128, green = 128, blue = 128), superimposed with a black circle (red = 0, green = 0, blue = 0; visual angle of radius = 5.96° , visual angle of thickness = 0.07°) as well as a black dot in the center (red = 0, green = 0, blue = 0; visual angle of radius = 0.16°). The size of the grey disc was half between the size of the dot and the size of the black circle (visual angle of radius = 3.06°) during all blocks except the feedback blocks, during which it could be anything in between size of the black circle and the size of the dot in the center (which turned green in this condition; red = 0, green = 255, blue = 0). During regulation blocks, the grey disc was surrounded by four outward (“larger”) or inward (“smaller”) pointing arrows at the top, bottom, left and right side (visual angle of width = 0.77° , visual angle of height = 0.98° ; visual angle of distances between top and bottom as well as left and right centers = 6.99°) and the dot in the center turned orange (red = 255, green = 128, blue = 0). During threat blocks, the entire display was additionally surrounded by a red frame (red = 255, green = 0, blue = 0; visual angle of height = 19.36° , visual angle of width = 19.36° , visual angle of thickness = 0.33°). See Fig. 2 for an overview of used stimuli.

3.3. MRI data acquisition

All MR images were recorded using a Siemens Skyra 3T MR system (Siemens, Erlangen, Germany), with a 32-channel receiver

head coil. High-resolution 3D anatomical images were recorded using a T1-weighted magnetization-prepared rapid gradient echo (MPRAGE) sequence with a generalized autocalibrating partial parallel acquisition (GRAPPA) acceleration factor of 2 (repetition time/echo time = 2300/3.03 ms, flip angle = 8° , field of view = $256 \times 256 \times 192$ mm, resolution = 1.0 mm^2). Functional images were acquired using an echo planar T2*-weighted sequence sensitive to BOLD contrast with a multiband acceleration factor of 4 (repetition time/echo time = 1000/33 ms, flip angle = 60° , field of view = 210×210 mm, number of slices = 52, slice thickness = 2.4 mm (no gap), in-plane resolution = 2.4×2.4 mm). During all scans, beside cushioning around the head, a strip of medical tape was applied over the forehead of the participants to reduce head motion (Krause et al., 2019).

3.4. Real-time fMRI neurofeedback setup

Real-time functional imaging was realized by implementing a custom functor in the MR image reconstruction pipeline which exported pixel data to an additional computer as soon as it becomes available. TurboExport (version 0.261, Brain Innovation, Maastricht, the Netherlands) was used to transform incoming pixel data for each volume into an image. Each resulting image was preprocessed in real time using Turbo-BrainVoyager (version 4.0 beta; Brain Innovation, Maastricht, the Netherlands). Preprocessing included motion correction (by realigning each image to the first image of the session), as well as spatial smoothing (Gaussian kernel of 5 mm full width at half maximum). The stimulation computer communicated with Turbo-BrainVoyager via a net-

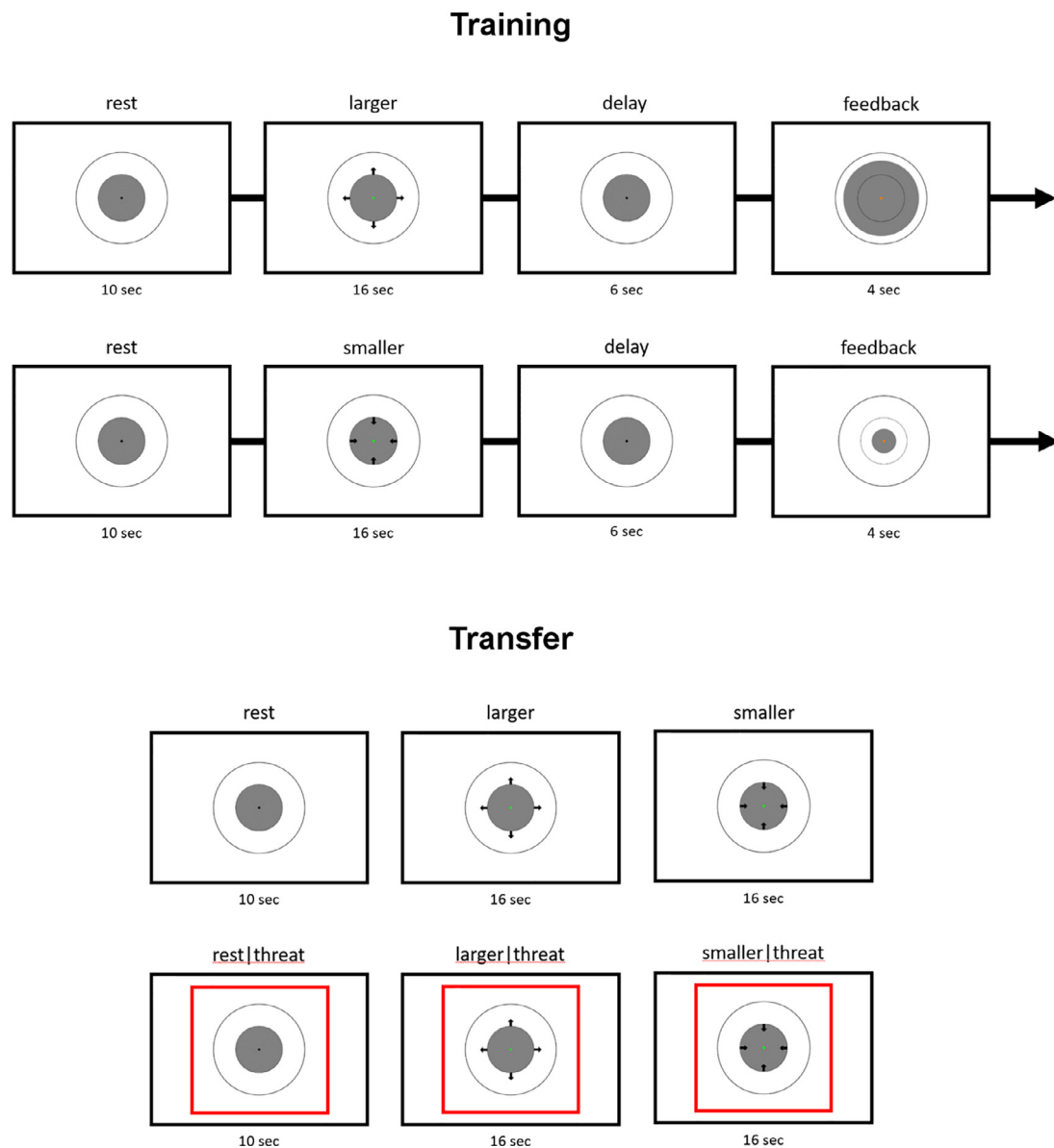


Fig. 2. Overview of experimental stimuli and design of the training (top) and transfer (bottom) sessions. (For interpretation of the references to color in this figure, the reader is referred to the web version of this article).

work connection, using the Transmission Control Protocol (TCP), in order to request the preprocessed real-time data to generate the feedback display.

3.5. Peripheral recordings

Eye movements and pupil size of the left eye were recorded using an Eyelink-1000 Plus eye-tracker (SR Research, Ottawa, Canada), with a sampling rate of 500 Hz. Additionally, a BrainAmp ExG MR (Brain Products, Gliching, Germany) was used to measure heart rate with an MR-compatible pulse sensor (placed on left ring finger; Brain Products, Gliching, Germany), respiration with an abdominal respiration belt (attached to a pneumatic sensor, Brain Products; Gliching, Germany), and Galvanic Skin Response with two Ag/AgCl electrodes (placed on the distal phalanges of the left index and middle fingers; Brain Products, Gliching, Germany).

3.6. Peripheral stimulation

Mild electrical shocks were delivered via two electrodes attached to the first and fifth finger of the right hand using a MAXTENS 2000 TENS unit (Bio-Protech, Gangwon-do, Korea). Stimulation intensity varied between 0 V/0 mA and 40 V/80 mA. During a standardized adjustment procedure prior to the testing, each participant received and subjectively rated five shocks, allowing stimulation strength to converge to an individualized level that was experienced as uncomfortable, but not painful.

4. Procedure

4.1. Session 1: localizer

After the recording of an anatomical image (≈ 5 min), participants were asked to look at a fixation cross at the centre of the screen, while a resting-state functional data-set was recorded (≈ 6 min). Subsequently,

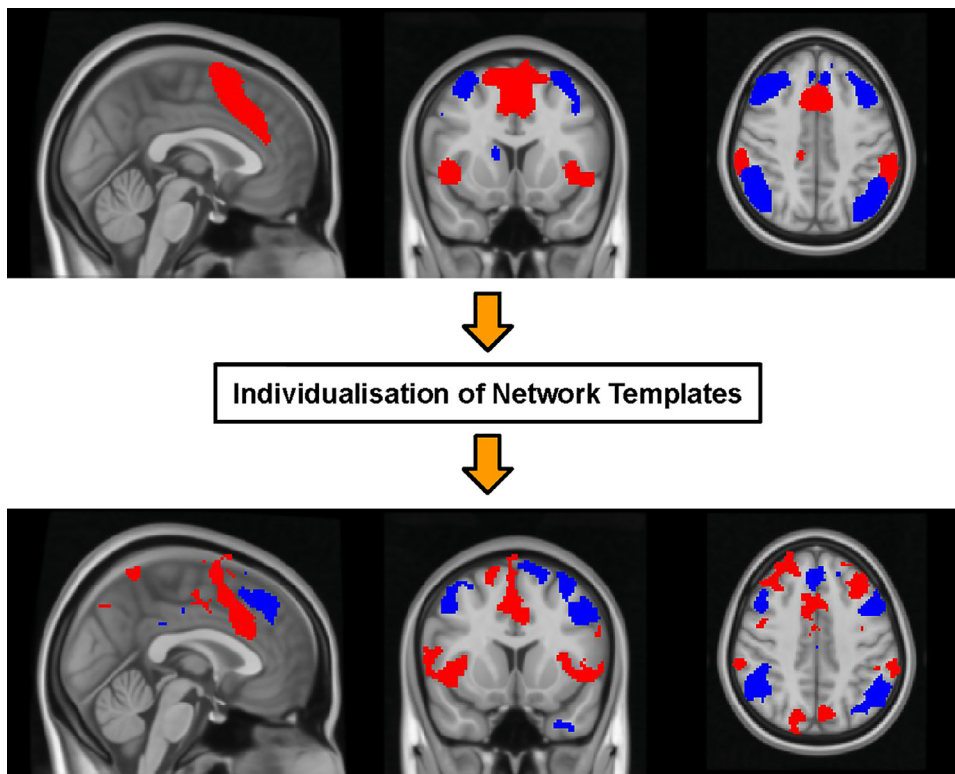


Fig. 3. Example output of procedure to individualize SN (red) and ECN (blue) network templates (top) to subject-specific network masks (bottom; data from participant 11, back-projected from native space to MNI space). For individualized network templates of all participants see Fig. S1 in Supplementary Materials. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article).

the eye-tracker was calibrated and another short functional data-set was recorded while participants were asked to passively look at the stimuli that were used in the later parts of the study (≈ 5 min). For the purpose of having a baseline pupil size for each of the stimuli, pupil size was recorded during this run. Once the tasks in the scanner were concluded, participants were asked to complete a set of questionnaires (see Materials).

The anatomical image and resting-state data-set were used after the session to create individualized network masks to be used as neurofeedback target regions during the subsequent training and transfer sessions. Using a custom-made Nipype (version 1.1.8; Gorgolewski et al., 2011, 2017) pipeline (<https://github.com/can-lab/IndNet>), functional images were realigned to the first volume of the run, spatially smoothed (Gaussian kernel of 5 mm full width at half maximum), cleaned from head-motion artefacts using ICA-AROMA (Pruim et al., 2015), and high-pass filtered (filter size = 100 s). The anatomical image underwent brain extraction and segmentation into grey matter (GM), white matter (WM) and cerebro-spinal fluid (CSF) binary masks. Binary masks of 14 intrinsic connectivity networks (ICN; Shirer et al., 2012) were transformed into native space, multiplied with the GM mask, and the results were used to extract 14 timecourses (first eigenvariate of each ICN) from the cleaned data. In addition, the WM and CSF masks were used to extract average (mean) WM and CSF timecourses from the cleaned data. ICN, WM and CSF timecourses entered a generalized linear model (GLM) in which contrasts reflecting combinations of ICN regressors specifying SN (anterior and posterior), ECN (left and right) as well as the default mode network (DMN; dorsal and ventral) were estimated and thresholded using spatial mixture modeling (threshold level = 0.66). Eventually, SN, ECN and DMN binary masks were created by only considering voxels that occurred exclusively in either of the thresholded maps and transformation into native anatomical voxel space. Resulting masks were imported into BrainVoyager (version 21.0, Brain Innovation, Maastricht, the Netherlands), transformed to be iso-voxeled and a BrainVoyager voxels-of-interest (VOI) definition was created for each mask. Fig. 3 shows an example of individualized SN and ECN network templates

for one participant (see Supplementary Materials for individualized network templates of all participants).

4.2. Sessions 2, 3 and 4: training

At the beginning of the first Training session oral instructions about the task and outline of the session were given outside of the scanner. For the regulation task, participants were asked to attempt to either increase or decrease the size of the disc on the screen with their brain, depending on the orientation of the surrounding arrows in each trial. They were told that they could achieve this by thinking of something specific, performing some mental task internally, or getting into a certain mood, emotion, feeling, or state of mind, and that they had to explore different mental strategies to find one that works for them. They were not made aware of either the origin and computation of the feedback signal, or the details of the study. Before each session, participants were explicitly asked to try to avoid movement, including facial movements, limb movements and irregular breathing patterns. Inside the scanner, first an anatomical image was recorded (≈ 5 min) and preprocessed immediately after reconstruction on a separate computer using BrainVoyager (version 21.0, Brain Innovation, Maastricht, the Netherlands). Preprocessing included intensity inhomogeneity correction, iso-voxeled, and brain extraction. Preprocessed anatomical images were further coregistered to the anatomical image of the first (localizer) session, and results were supplied to Turbo-BrainVoyager to have real-time functional data in alignment with the neurofeedback target ROIs. Parallel to the anatomical preprocessing, the eye-tracker was calibrated. Subsequently, participants were engaged in seven (session 4 of participant 3, session 3 of participant 6, session 4 of participant 7) to eight (all other sessions) real-time fMRI neurofeedback training runs (each ≈ 10 min). The main motivation for splitting the sessions into multiple short runs was to offer participants self-paced rest periods (in between runs). Each run started with a rest phase during which the baseline and initial display boundaries for the feedback signal (i.e. smallest and largest disc size) were calculated. Feedback was based on the difference signal between the averages (mean) of all voxels in the SN and ECN ROIs, with the direc-

tion being alternated between participants (participants 1, 3, 5, 7, 9, 11: SN - ECN; participants 2, 4, 6, 8, 10: ECN - SN). Notably, all participants were still engaged in bidirectional self-regulation, and this alternation only ensured a counter-balancing in the way the direction of a network balance change (i.e. toward SN or toward ECN) was coupled to the feedback display (i.e. larger or smaller). While the participants receiving SN - ECN feedback were slightly younger (24.5 years, SD = 4.68) than those receiving ECN - SN feedback (27.2 years, SD = 7.33), that difference was not significant, $t(9) = 0.74$, $p = 0.48$, $d = 0.44$.² The baseline for this difference signal was defined as the average (median) difference between SN and ECN during the initial rest phase, and the initial lower and upper display boundaries were set to two standard deviations from this baseline. These limits were updated before each regulation block to the average (median) of the five lowest/highest difference values in that run. For each regulation block, positive and negative changes in the difference signal resulted in a feedback value between -1 and 1 by applying the following calculation (values lower than -1 and higher than 1 were set to -1 and 1, respectively):

$$feedback_{smaller} = \frac{regulation - baseline}{limit_{lower} - baseline} \quad (1)$$

$$feedback_{larger} = \frac{regulation - baseline}{limit_{upper} - baseline} \quad (2)$$

Due to the characteristics of the delayed haemodynamic response, calculations did not consider the first six volumes of each block, but included the first two volumes of the following block. The feedback hence represented the average regulation performance during each regulation block and was given intermittently during the subsequent feedback block. The feedback value was furthermore used to calculate the amount of points a participant collected. For each regulation block, an amount of points proportional to the feedback value was given ranging from 0 points, when the difference signal changed in the opposite direction than instructed, and 100 points when the specified limit was reached. These points were accumulated after each regulation block, and the total was presented to the participant in the end of each. After each run, participants were asked to verbally rate their degree of control over the disc size as well as the difficulty of the regulation in either direction on a scale from 0 to 10. In between runs, participants could take a short break (inside the scanner), if needed. At the end of the session and outside the scanner, participants were asked to write down the strategies they used, if they thought these strategies worked and whether they would use them again in the next session.

4.3. Session 5: transfer

After the standardized stimulation intensity adjustment an anatomical image was recorded and the eye-tracker was calibrated. The subsequent three functional runs were similar to the feedback runs in previous sessions, but differed in two ways: (1) no feedback was given to the participant about their regulation performance, and (2) during 50% of all rest and regulation blocks, there was the chance (11.8% across all three runs) of a mild electrical stimulation of the fingers at any time during the block. Participants were told to apply those strategies to increase and decrease the size of the disc that they thought worked best during the training, and that they were still collecting points. In the last run of the session a functional resting-state data-set was recorded while participants were asked to look at a fixation cross at the centre of the screen. At the end of the session and outside the scanner, participants were asked about any thoughts they would like to share about their participation, after which they were debriefed and informed about the details of the study.

² Notably, whether a participant received SN - ECN or ECN - SN feedback did not affect our main outcome measure of self-regulation performance in any of the sessions (all $|t| < 1$).

4.4. Data analysis

4.4.1. Self-evaluation

Perceived controllability of the feedback signal as well as perceived difficulty to control the signal in either direction specifically were assessed for each training session by averaging the scores across all runs of that session. Expected increase in perceived controllability from first to last training session, as well as decreased perceived difficulty in both regulation directions, were each tested for with a one-sided paired *t*-test. Analyses were performed using Pingouin (version 0.3.8; Vallat, 2018).

4.4.2. Peripheral recordings

Bandpass filtering (0.3–3 Hz) was applied to raw pulse and respiratory recordings to remove low-frequency drifts, artefacts time-locked to the MR volumes were removed from the data via deconvolution, and automatic peak detection was applied to the pulse data, using a custom tool (<https://github.com/can-lab/brainampconverter>). Data was further visually inspected and corrected, using a custom tool (<https://github.com/can-lab/hera>). Periods rejected due to data quality were removed and data were interpolated. The processed pulse and respiratory data were then used for retrospective image-based correction of physiological noise artefacts in the MRI data, using a custom tool (<https://github.com/can-lab/RETROICORplus>). This method utilizes 5th order Fourier modeling of cardiac and respiratory phase related noise. A total of 25 nuisance regressors were created, including 10 cardiac phase regressors and 10 respiratory phase regressors (RETROICOR; Glover et al., 2000), plus 3 heart rate frequency regressors (Shmueli et al., 2007; van Buuren et al., 2009), and 2 respiratory volume per unit time regressors (Birn et al., 2006; van Buuren et al., 2009).

Skin conductance data recorded during the Transfer session were down-sampled to 100 Hz and high-pass filtered (cutoff = 5 Hz). Continuous Decomposition Analysis on the first 10 s of each block was then performed in Ledalab (Benedek and Kaernbach, 2010), in order to extract tonic and phasic components of the skin conductance amplitude. To test whether the threat of a mild electric shock led to an expected overall increase in skin conductance, compared to not receiving a shock, the standardized (z-transformed) average phasic amplitude of the initial ten seconds of each block was calculated and subsequently entered into a one-sided paired *t*-test. In case of a significant overall effect, the expected increase was further assessed by post-hoc one-sided paired *t*-tests in each condition (rest, regulate to SN [participants 3, 5, 7, 9, 11: “larger”; participants 2, 4, 6, 8, 10: “smaller ”], regulate to ECN [participants 3, 5, 7, 9, 11: “smaller”; participants 2, 4, 6, 8, 10: “larger ”]) individually. Potential differences in the strength of the threat effect between conditions was furthermore tested by the interaction effect in a 3×2 repeated measures ANOVA with the factors condition (rest, regulate to SN, regulate to ECN) and threat (threat, safe).

Average baseline pupil dilation in response to each stimulus was calculated from data acquired in the Localizer session. The average pupil size of the first 10 s of each rest and regulation block was then calculated for the Transfer session and z-transformed, from which the z-transformed average baseline was subtracted, to avoid pupil dilation differences driven by changes in luminance between stimuli. Periods reported as blinks by the eye-tracker (pupil data missing for three or more samples in a sequence) were not considered. To test whether the threat of a mild electric shock led to an overall increase in pupil size, compared to not receiving a shock, baseline-corrected data was subsequently entered into a one-sided paired *t*-test. In case of a significant overall effect, the expected increase was further assessed by post-hoc one-sided paired *t*-tests in each condition (rest, regulate to SN [participants 3, 5, 7, 9, 11: “larger”; participants 2, 4, 6, 8, 10: “smaller ”], regulate to ECN [participants 3, 5, 7, 9, 11: “smaller”; participants 2, 4, 6, 8, 10: “larger ”]) individually. Potential differences in the strength of the threat effect between conditions was furthermore tested by the interaction effect in a 3×2 repeated measures ANOVA with the factors condition (rest, regu-

late to SN, regulate to ECN) and threat (threat, safe). Skin conductance and pupil size analyses were performed using Pingouin (version 0.3.8; Vallat, 2018).

4.4.3. SN-ECN balance self-regulation performance

The first two runs of the first training session were considered to be practice runs (for the participants to get used to the setting) and were not analysed further. For each remaining functional run, the difference signal between SN and ECN timecourses (as extracted online with Turbo-BrainVoyager) was high-pass filtered (cutoff = 0.01 Hz/100 s) and normalized (z-transformation). Due to technical problems, participants 8 and 9 both received inaccurate feedback during a single run of session 2, and the corrected SN and ECN timecourses for this analysis were extracted post-hoc. For each participant, the concatenated preprocessed difference signals of all runs of all sessions then entered a generalized linear model (GLM), corrected for serial correlations by means of a first order autoregressive model (AR1), with 29 regressors modeling the expected hemodynamic responses (double gamma function) during the different blocks of the experimental design in each session (i.e. the regulation conditions “larger” and “smaller”, the “delay” period, and “feedback” for each regulation condition in each of the training sessions, as well as the regulation conditions “larger”, “smaller”, “larger|threat”, “smaller|threat”, “rest|threat”, and regressors for reinforced threat blocks describing the periods before and after a shock, as well as the shock itself, for the transfer session). An additional set of 49 regressors per run was added as covariates: six motion parameters from Turbo-BrainVoyager (three translational and three rotational), their first temporal derivative as well as the quadratic terms of both the base motion parameters and their temporal derivatives, and 25 physiological noise components. Self-regulation performance in each session was assessed by estimating the difference contrast “regulate to SN > regulate to ECN” (participants 3, 5, 7, 9, 11: “larger > smaller”; participants 2, 4, 6, 8, 10: “smaller > larger”) corresponding to that session. In line with our hypotheses, the following planned contrasts were tested for significance: (1) the difference contrast across all sessions, to test for overall control over the feedback signal, (2) the difference contrast in the transfer session, to test specifically for preserving that skill after training, in the absence of feedback, (3) a contrast corresponding to a positive linear trend in the difference contrast over sessions, to test for improvement over time, and (4) a contrast testing whether the effect of the difference contrast in the transfer session was smaller in the “threat” condition, compared to the “safe” condition. To assess random effects across participants, contrast estimates of all participants were tested for significance with a one-sample *t*-test. The significance level for all tests was set to $\alpha = 0.05$. Analyses were performed using NiPy (version 0.4.2; Millman and Brett, 2007) and Pingouin (version 0.3.8; Vallat, 2018).

4.4.4. Whole-brain voxel-wise analysis

To further explore how the self-regulation of SN-ECN balance affected global brain activations in the here tested group of participants, additional whole-brain voxel-wise offline fMRI analysis has been performed. All MR image were preprocessed with FMRIPREP version 1.5.8 (Esteban et al., 2018, 2020; RRID:SCR_016216), a Nipype (Gorgolewski et al., 2011, 2017; RRID:SCR_002502) based tool. Each T1w (T1-weighted) volume was corrected for INU (intensity non-uniformity) using N4BiasFieldCorrection v2.1.0 (Tustison et al., 2010) and skull-stripped using antsBrainExtraction.sh v2.1.0 (using the OASIS template). Spatial normalization to the ICBM 152 Nonlinear Asymmetrical template version 2009c (Fonov et al., 2009; RRID:SCR_008796) was performed through nonlinear registration with the antsRegistration tool of ANTs v2.1.0 (Avants et al., 2008; RRID:SCR_004757), using brain-extracted versions of both T1w volume and template. Brain tissue segmentation of cerebrospinal fluid (CSF), white-matter (WM) and gray-matter (GM) was performed on the brain-extracted T1w using fast (Zhang et al., 2001; FSL v5.0.9; RRID:SCR_002823).

Functional data was motion corrected using mcflirt (FSL v5.0.9; Jenkinson et al., 2002). This was followed by co-registration to the corresponding T1w using boundary-based registration (Greve and Fischl, 2009) with six degrees of freedom, using flirt (FSL). Motion correcting transformations, BOLD-to-T1w transformation and T1w-to-template (MNI) warp were concatenated and applied in a single step using antsApplyTransforms (ANTs v2.1.0) using Lanczos interpolation.

Many internal operations of FMRIPREP use Nilearn (Abraham et al., 2014; RRID:SCR_001362), principally within the BOLD-processing workflow. For more details of the pipeline see <https://fmripiprep.readthedocs.io/en/latest/workflows.html>.

FMRIPREP-preprocessed functional runs were further spatially smoothed (5 mm FWHM) and temporally high-pass filtered (cutoff = 0.01 Hz/100 s), using a custom-made Nipype (version 1.4.2; Gorgolewski et al., 2011, 2017) pipeline (<https://github.com/can-lab/finish-the-job>).

The first two runs of the first training session were considered to be practice runs (for the participants to get used to the setting) and were not analysed further. Each of the remaining runs was median-scaled to a value of 10000, and all voxels exceeding a threshold of 1000 entered a pre-whitened generalized linear model (GLM; FILM_GLS from FSL version 6.0.1; Smith et al., 2004) with five regressors modeling the expected hemodynamic responses (double gamma function) during the different blocks of the experimental design (the regulation conditions “larger” and “smaller”, the “delay” period, as well as “feedback” for each regulation condition). An additional set of 49 regressors per run was added as covariates: 24 motion parameters from FMRIPREP (three translational and three rotational, their first temporal derivative, as well as the quadratic terms of both base motion parameters and their derivatives) and 25 physiological noise components. First-level contrasts corresponding to “regulate to SN” (participants 3, 5, 7, 9, 11: “larger”; participants 2, 4, 6, 8, 10: “smaller”), “regulate to ECN” (participants 3, 5, 7, 9, 11: “smaller”; participants 2, 4, 6, 8, 10: “larger”), and “regulate to SN > regulate to ECN” (participants 3, 5, 7, 9, 11: “larger > smaller”; participants 2, 4, 6, 8, 10: “smaller > larger”), were estimated and averaged across all runs of one training session in a single-regressor second/intermediate-level fixed-effects GLM (FLAMEO from FSL; version 6.0.1; Smith et al., 2004). The transfer session was analysed in the same way, with additional regressors for the conditions “larger|threat”, “smaller|threat”, “rest|threat”, and regressors for reinforced threat blocks describing the periods before and after a shock, as well as the shock itself, for the transfer session, and additional contrasts for each regulation direction and the difference between them were estimated for safe and threat conditions independently. Session estimates then entered several third/group-level fixed-effects GLMs (FLAMEO from FSL version 6.0.1; Smith et al., 2004): (1) four single-regressor models to test for main effects of each session, (2) a model with one regressors describing a positive linear relationship across sessions and 10 regressors describing subject effects (to test for improvements over time), as well as (3) a model with one regressor describing the difference between safe and threat conditions in the transfer session and 10 regressors describing subject effects. Results were family-wise error (FWE) corrected for multiple comparisons on the single voxel level, and thresholded at a $\alpha = 0.05$. Analyses were performed using a custom-made Nipype (version 1.4.2; Gorgolewski et al., 2011, 2017) pipeline (<https://github.com/can-lab/FawN>).

4.4.5. Functional connectivity

While the neurofeedback signal in the current study was based on the difference between the activation of SN and ECN, successful self-regulation might also have an effect on the reciprocity between the two networks. To test for changes in functional connectivity between SN and ECN, their timecourse correlation during the resting-state run in the first session (Localizer; pre training) was compared to that of the last session (Transfer; post training). Timecourses (first eigenvariate) of SN and ECN were extracted from FMRIPREP-preprocessed data (see

SN-ECN Balance Self-Regulation Performance

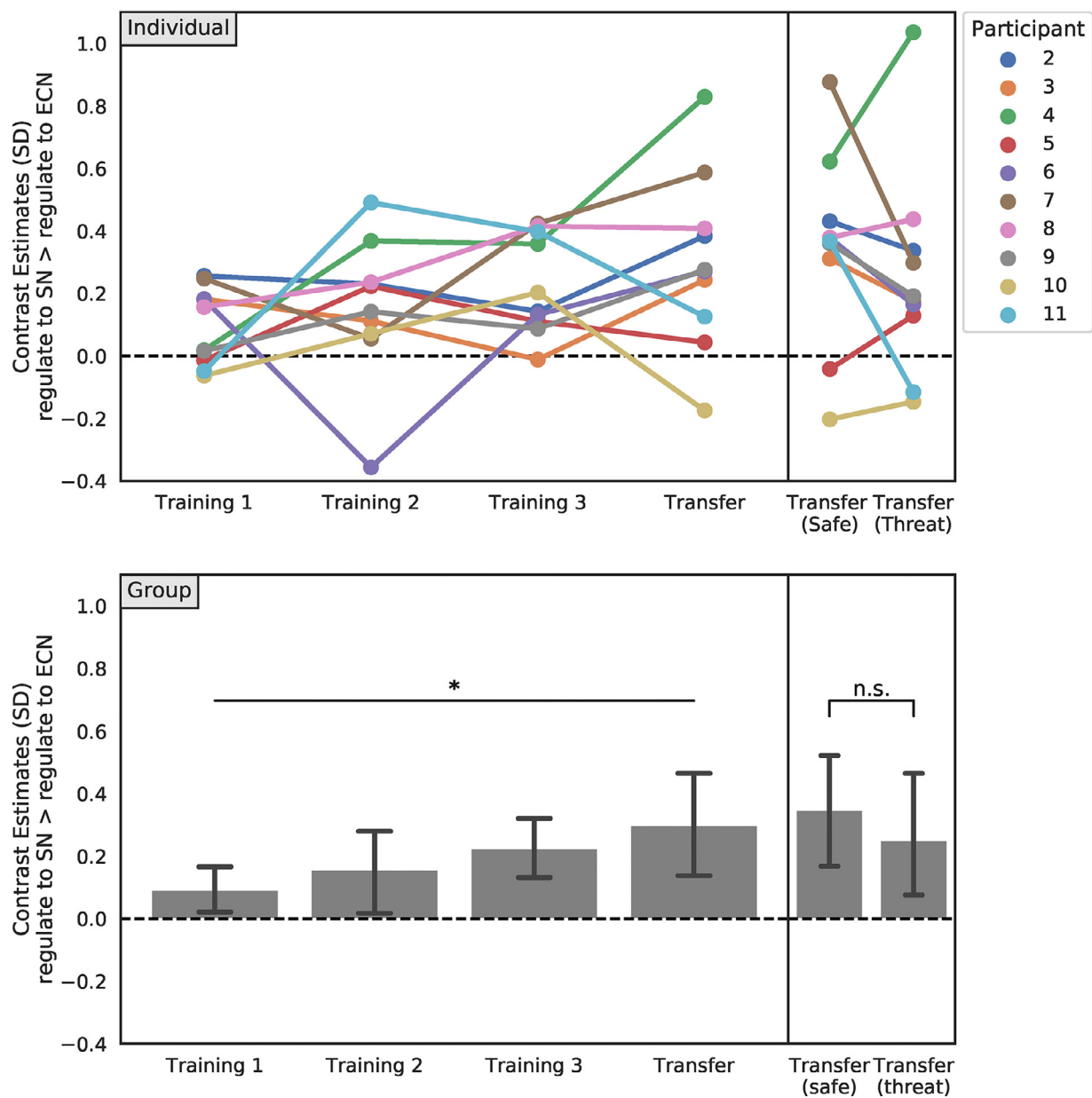


Fig. 4. SN-ECN balance self-regulation performance per session for each participant individually (top) and for all participants as a group (bottom). On average, participants gained significant control over the feedback signal, irrespective of being threatened or not, and demonstrated consistent improvement during acquisition of this skill. Error bars represent 95% bootstrapped confidence intervals, and indicate significance when they do not encompass zero. *: $p < 0.05$, n.s.: not significant.

above), using combined anterior/posterior SN and combined left/right network masks from previous literature (Shirer et al., 2012). Prior to extraction, standard functional connectivity preprocessing steps to reduce spurious variance unlikely to reflect functional activity were applied (c.f. Fox et al., 2005). Data was normalized (z-transformation), band-pass filtered (0.009–0.08 Hz), spatially smoothed (5 mm FWHM), and confounds, estimated by 36 confound predictors from FMRIPREP (describing three translational motion parameters, three rotational motion parameters, a white-matter signal, a cerebro-spinal fluid, their first temporal derivative, as well as the quadratic terms of all predictors and their derivatives), removed by means of regression. Pearson correlations between the extracted timecourses of each participant in the first and last session were Fisher z-transformed and entered into a two-tailed paired t -test.

4.4.6. Data and code availability

Scripts used during real-time neurofeedback training, as well as scripts for reproducing the reported self-regulation performance, self-evaluation, peripheral recordings, and full-brain analyses are openly available in the Open Science Framework at <https://osf.io/sh2ck>. Pseudonymized data will be available on request from the Donders Repository at <https://data.donders.ru.nl>. Raw MR images are not publicly available due to privacy or ethical restrictions.

5. Results

5.1. SN-ECN balance self-regulation performance

Fig. 4 shows self-regulation performance per session for each participant individually (top) as well as for the group of all participants (bot-

tom). Most importantly, and in line with our hypothesis, participants gained significant differential control (i.e. between the two regulation directions) of the feedback signal, both, across all sessions, $t(9) = 4.80$, $p < 0.001$, $d = 1.52$, as well as during the transfer session, without feedback, in particular, $t(9) = 3.38$, $p < 0.01$, $d = 1.07$. This skill was even significantly demonstrable on an individual level for 8/10 and 7/10 participants, respectively (see Supplementary Materials for detailed individual results). Participants furthermore showed a linear improvement in self-regulation performance over time, from the first training session to the transfer session, $t(9) = 2.81$, $p < 0.05$, $d = 0.89$. No significant difference between differential self-regulation performance in the “safe”, compared to the “threat” condition of the transfer session could be observed, $t(9) = 1.05$, $p = 0.16$, $d = 0.33$, and confidence intervals indicate significant differential control in both conditions.

5.2. Self-evaluation

There was a significant increase in the ratings of perceived control of the feedback signal from the first training session (3.91) to the last (4.71), $t(9) = 2.06$, $p < 0.05$, $d = 0.42$. A significant decrease in the rating of perceived regulation difficulty was observed when regulating to ECN (first training session: 7.66, last training session: 5.72), $t(9) = -4.68$, $p < 0.001$, $d = 1.08$, but not when regulating to SN (first training session: 5.77, last training session: 6.21), $t(9) = 0.90$, $p = 0.80$, $d = 0.34$. Participants’ strategies to regulate to SN included focusing on emotional memories/thoughts ($n = 5$), imagery of size changes ($n = 3$), as well as refocusing covert attention away from the task ($n = 2$). Strategies to regulate to ECN included focusing on positive memories/relaxing thoughts ($n = 5$), imagery of size changes ($n = 3$), as well as mental calculation

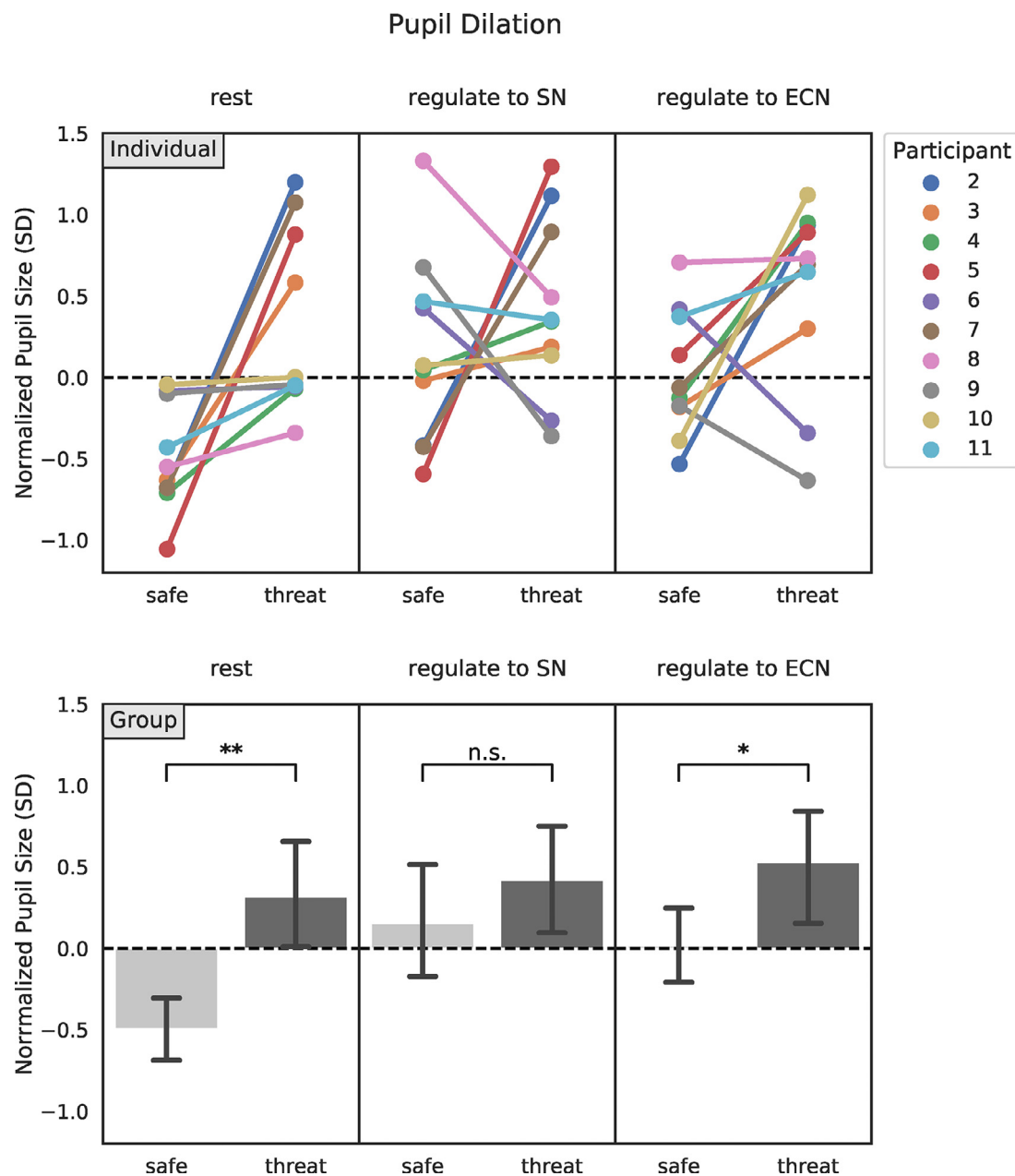


Fig. 5. Pupil dilation in the Transfer session for each participant individually (top) and for all participants as a group (bottom). The threat of a mild electric stimulation significantly increased overall pupil size, but to different degrees in each task. Error bars represent 95% confidence intervals. **: $p < 0.01$, *: $p < 0.05$, n.s.: not significant.

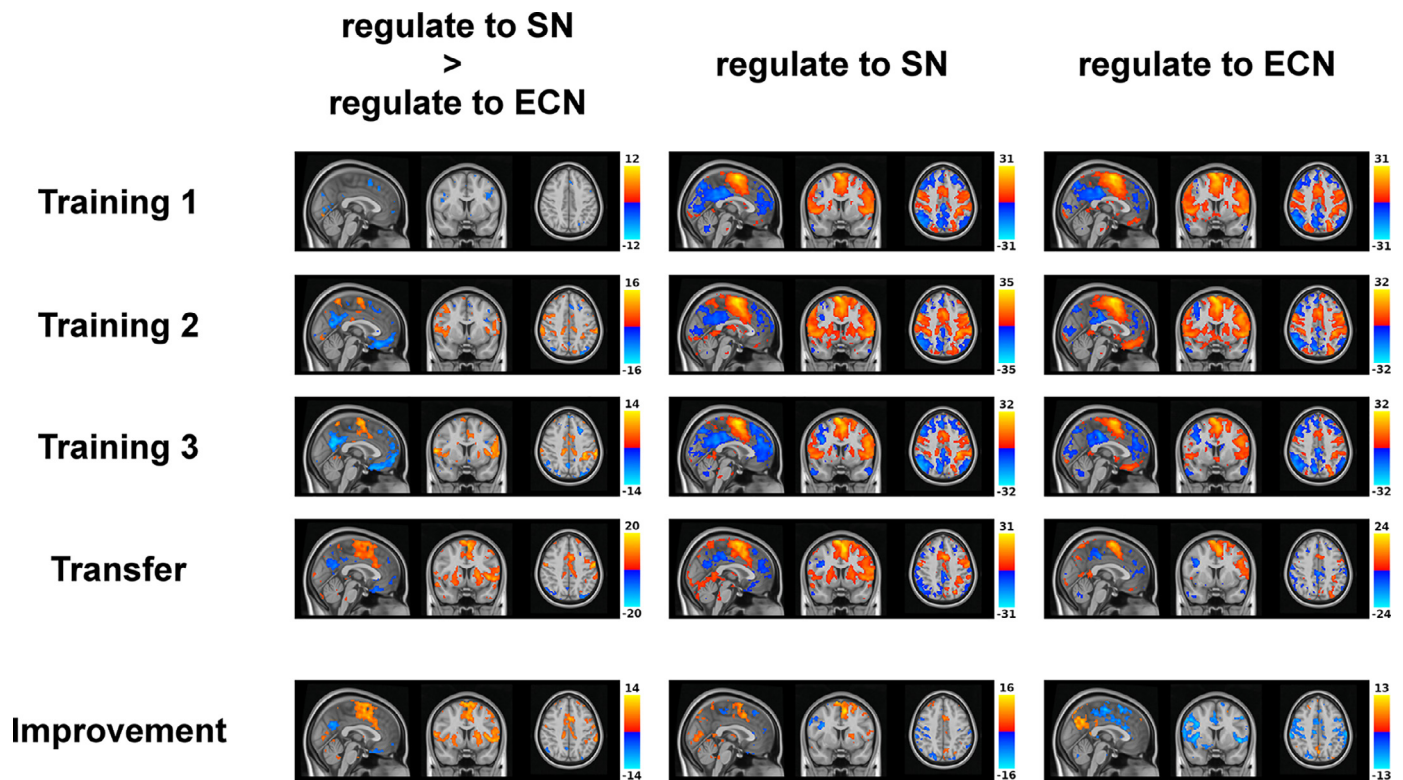


Fig. 6. Full-brain activations of the tested group of participants during self-regulation in each of the four sessions. Participants learned to regulate the difference signal mainly via SN and ECN (but also DMN) manipulation, and especially by actively deactivating SN when asked to regulate to ECN. Only significant voxels ($p_{\text{FWE}} < 0.05$) are shown. MNI coordinates: $x = 0$, $y = 7$, $z = 42$.

($n = 2$). For a full overview of individuals' ratings and strategies per session see Table S1 in Supplementary Materials.

5.3. Physiological threat response

Fig. 5 shows pupil responses in the transfer runs. Overall, normalized pupil size showed the expected sympathetic response to the stressor, $t(9) = 3.16$, $p < 0.01$, $d = 1.89$, with larger pupil size during threat blocks (0.42 SD) than during safe blocks (-0.34 SD), validating the experimental manipulation. Post-hoc tests indicated this effect to be significant during both rest, $t(9) = 3.21$, $p < 0.01$, $d = 1.77$, and when regulating towards ECN, $t(9) = 2.13$, $p < 0.05$, $d = 1.04$, but not when regulating towards SN, $t(9) = 0.82$, $p = 0.23$, $d = 0.46$. The difference in the effect between conditions was not significant, $F(2,18) = 3.45$, $p = 0.054$, $\eta_p^2 = 0.28$.

Overall, the same pattern was observed for standardized average phasic skin conductance amplitude in the transfer runs, which was 0.14 SD larger during threat blocks than during safe blocks, $t(9) = 2.17$, $p < 0.05$, $d = 0.99$. Post-hoc tests indicated that this effect reached significant only when regulating towards ECN, $t(9) = 2.72$, $p < 0.05$, $d = 1.30$, but not when regulating towards SN, $t(9) = 1.39$, $p = 0.10$, $d = 0.70$, or during rest, $t(9) = 1.74$, $p = 0.06$, $d = 0.73$. The difference in the effect between conditions was not significant, $F(2,18) = 0.38$, $p = 0.69$, $\eta_p^2 = 0.04$.

5.4. Whole-brain voxel-wise analysis

Fig. 6 shows an overview of the whole-brain voxel-wise effects of self-regulation in each of the four sessions, corrected for family-wise error. Regulating SN-ECN balance to either side positively activated a large set of regions associated with SN (including supplementary motor area, anterior cingulate cortex, supramarginal gyrus, insula, amygdala, hippocampus, dorsal striatum, thalamus), and negatively activated a large set of regions associated with ECN (including middle

frontal gyrus, angular gyrus) and DMN (including posterior cingulate gyrus, precuneus, paracingulate gyrus). Descriptively, participants increasingly learned to differentially activate SN, but also part of DMN, between the two regulation conditions. Results of the contrast specifically testing for an improvement over time confirm this pattern, and further indicated that while participants did improve in increasingly activating SN when asked to regulate to SN, they mainly learned to actively deactivate SN when asked to shift SN-ECN balance in the other direction. Tables S7–S9 (Supplementary Materials) provide the full list of regions whose activation changed positively or negatively over time, for differential regulation, regulation to SN and regulation to ECN, respectively.

Fig. 7a shows the whole-brain voxel-wise effects of threat in the transfer session, corrected for family-wise error. Overall, being threatened with a mild electric stimulation led to an increase in activation of SN regions and visual brain areas, as well as a decrease in activation of ECN and DMN regions (see Table S10 in Supplementary Materials for the full list of regions). This effect was more pronounced in the two regulation conditions, compared to the rest condition. Fig. 7b shows the effects of threat on self-regulation in particular. Self-regulating to either direction, when being threatened with a mild electric shock, led to local increases in activation of visual brain areas, insular cortex, superior frontal gyrus and posterior cingulate cortex, compared to not being threatened (see Tables S11 and S12 in Supplementary Materials for the full list of regions). However, in the differential contrast between the two regulation directions, only a single voxel in the middle frontal gyrus was significantly more activated when being threatened, compared to when not being threatened.

5.5. Functional connectivity

Fig. 8 shows the Pearson correlation of each between SN and ECN of each participant in the first (Localizer) and last (Transfer) session.

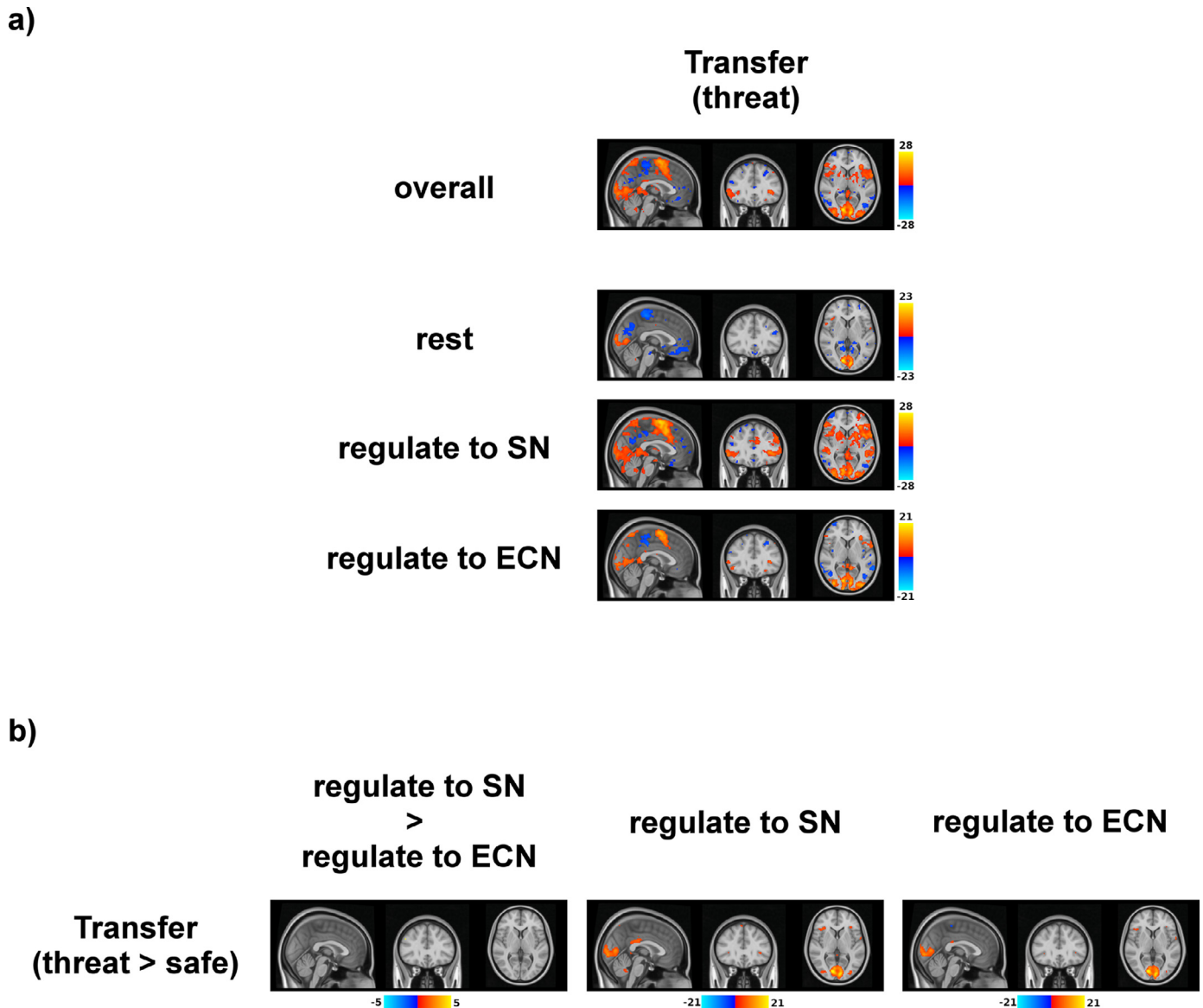


Fig. 7. Full-brain activations during self-regulation in the transfer session. a) Being threatened with a mild electric shock led to an increase in SN activation, as well as decreases in ECN and DMN activation. (b) The threat affected self-regulation in both directions equally. Only significant voxels ($p_{FWE} < 0.05$) are shown. MNI coordinates: $x = 0, y = 30, z = 3$.

Statistical analysis on the Fisher z-transformed data showed a significant positive change of on average 0.74 in the correlation between SN and ECN from the first session (Localizer; before the training) to the last session (Transfer; after the training), $t(9) = 2.74, p < 0.05, d = 0.90$.

6. Discussion

The current study is a first investigation of the general feasibility to use rtfMRI-NF to train the self-regulation of stress-related large-scale network balance. Our results show that, given intermittent feedback about their performance over three training sessions, participants were well capable to learn to differentially control the balance between SN and ECN activation, both as a group, as well as individually.

Crucially, participants were able to successfully transfer this newly learned skill to a situation where they did not receive any feedback anymore. Unlike other forms of neuromodulation which externally change neural parameters at the time of application or aim for long-term effects via plasticity changes (Johnson et al., 2013), neurofeedback also allows individuals to learn mental strategies that they can voluntarily

apply themselves at a later point in time after the training. While participants in the current study used very different mental strategies, ranging from emotion induction to exerting cognitive control, they were all able to explicitly describe their chosen strategies afterwards and seemed to be aware of their self-regulation success. In addition to transferring the learned self-regulation strategies beyond the training, participants were also capable to apply them under acute stress (in form of a threat of mild electric stimulation). The threat of mild electric stimulation led to a significant overall increase in both pupil size and skin conductance, compared to periods without this threat, validating that, in line with previous research (Phelps et al., 2001), the threat was perceived as an acute stressor. This effect, while descriptively present in all conditions, did not reach statistical significance in each of them individually (probably due to the small size of the current sample), but there was also no evidence that the effect significantly differed between conditions.

Whole-brain voxel-wise fMRI analysis confirmed that participants recruited large-scale networks of brain areas in SN and ECN, but also DMN, during their self-regulation attempts. Notably, participants' attempts to regulate SN-ECN balance in either direction seem to have led to a gen-

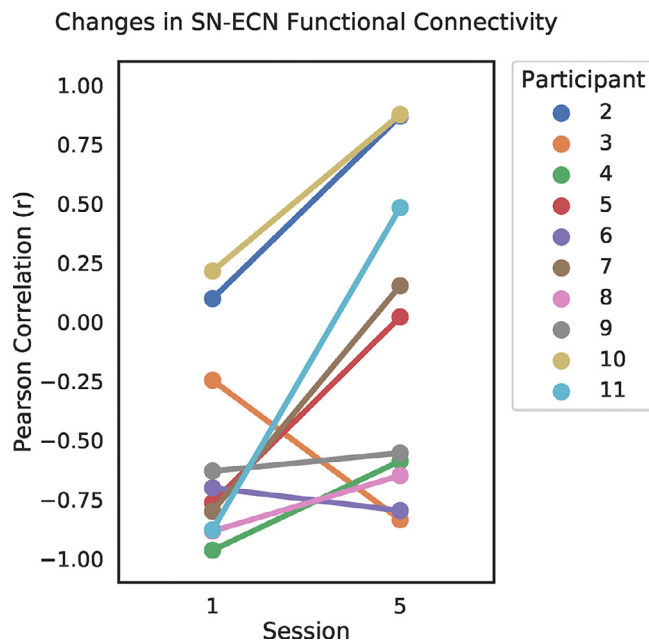


Fig. 8. Changes in SN-ECN functional connectivity (Pearson correlation) between the first (Localizer) session and the last session (Transfer) for each participant.

eral shift of network balance toward SN, with strong SN activation and ECN (and DMN) deactivation, compared to rest. We also consistently observed this pattern in the online feedback signal during the training sessions. There are multiple possible explanations for this observation. It has previously been shown that increases in cognitive effort as well as reward anticipation recruit overlapping networks of brain areas within SN (Vassena et al., 2014). Reward processing networks are known to be part of the neural substrates of neurofeedback-based self-regulation, together with other SN and ECN areas, involved in the conscious perception of feedback and reward, and executive aspects of the regulation tasks, respectively (Sitaram et al., 2017). Furthermore, an increase in cognitive effort during regulation attempts might have led to heightened sympathetic arousal (Westbrook and Braver, 2015), resulting in network balance shifts similar to acute stressors (Young et al., 2017). Alternatively, the pressure to perform self-regulation on cue within a limited time period might have simply been perceived as an acute stressor. The results of the threat manipulation in both whole-brain fMRI as well as pupil data seem to be in line with this interpretation. The pupil data in the transfer session showed visibly increased dilation during regulation blocks, compared to safe blocks (see Fig. 5). The difference was more pronounced in the safe condition than in the threat condition, possibly due to pupil size ceiling effects when regulating under threat. Likewise, whole-brain voxel-wise fMRI data showed that while threat in general led to increases in SN activation and decreases in ECN and MN activation, these neural effects were largely driven by the regulation conditions (which appeared to be equally affected by the threat).

Importantly, however, despite a general shift towards SN, whole-brain voxel-wise fMRI analysis showed that participants were able to learn over time to suppress SN activation when regulating towards ECN, and continued to do so in the transfer session. This is also reflected in the self-evaluation results which indicated that participants, while generally aware of their improvement in self-regulation over time, only perceived a decrease in the difficulty to regulate to ECN over time. From a clinical perspective, the ability to voluntarily regulate network balance away from SN is particularly relevant, as it might allow patients with stress-related disorders to actively counteract the automatic stress-induced shifts towards SN (Hermans et al., 2014). Future research applying this novel network-based neurofeedback approach to corresponding

patient populations will be needed to test this hypothesis. It should be noted that, due to the explorative nature of the whole-brain voxel-wise fMRI analysis and the focus on understanding how the group of tested participants in the current study achieved self-regulation of the network balance, fixed effects analysis was performed. In contrast to the other analyses reported, the conclusions drawn from this analysis are hence not generalizable beyond the current sample.

Interestingly, we also observed a positive change in resting-state functional connectivity between SN and ECN after the training, compared to before. This result, however, has to be interpreted very carefully, as it does not necessarily imply that the neurofeedback training led to structural post-training connectivity changes. First, the two resting-state runs were acquired at different time points during the MRI session. In the localizer session, the resting-state run followed immediately after the initial anatomical scan, and was hence acquired after 6 min of lying passively in the scanner. In the transfer session, however, the resting-state run was acquired at the end of the session, after participants have been in the scanner for approximately 45 min. Second, the resting-state run in the transfer session followed immediately after participants have been actively regulating SN-ECN balance while additionally being threatened with the prospect of a mild electric stimulation in half of their regulation attempts. It is hence not unlikely that the connectivity changes observed in the resting-state run of the transfer session are sustained effects of the previous run, and while those might relate to self-regulating SN-ECN activation balance, they might also relate to the threat anticipation, which is known to affect the connectivity between nodes in SN and ECN (Bijsterbosch et al., 2015; McMenamin et al., 2014). Future research, specifically targeting the question of how activation-based network-balance neurofeedback training affects network connectivity, is needed to give a more conclusive answer.

The neurofeedback approach in the current study differs considerably from the majority of previous rtfMRI-NF paradigms, in that it does neither target only the activation of a single isolated brain area (Thibault et al., 2017; Sulzer et al., 2013), nor the functional connectivity between two individual areas (Thibault et al., 2017; Watanabe et al., 2017). We here specifically targeted the difference in activation between two functionally different actors (c.f. Scharnowski et al., 2015). Unlike Scharnowski and colleagues (2015), however, we focus on the balance between two large-scale brain networks that each consist of multiple brain areas and are functionally related. While self-regulation based on feedback from specific individual regions within these networks has been trained in the past (e.g. Hamilton et al., 2016), and it has been shown that such feedback can also lead to more global changes within the same or other networks (e.g. Mayeli et al., 2020), we took a different approach, in line with three other recent neurofeedback studies that targeted entire large-scale brain network balance (Kim et al., 2019; Pamplona et al., 2020; Bauer et al., 2020). While Kim et al. (2019) targeted changes in functional connectivity between SN and DMN, Pamplona et al. (2020) as well as Bauer and colleagues (2020) took an approach similar to ours and targeted the difference in the activation between the sustained attention network and DMN as well as ECN and DMN, respectively. Notably, however, the current study also differs to those studies by focusing on the stress-related balance between SN and ECN (Hermans et al., 2014, 2011), specifically, providing another important proof-of-concept demonstration for a promising new class of network-based neurofeedback paradigms. Beside its clinical potential to target maladaptations in large-scale network configurations, network-based neurofeedback might also be less affected by physiological confounds (in particular respiratory patterns) that are otherwise difficult to remove from a single real-time neurofeedback signal (Weiss et al., 2020). The large-scale nature of the involved networks over large parts of the brain, combined with the characteristically widespread pattern in which physiological noise affects brain activity (Birn et al., 2006), suggest that this confounding factor would affect each network to a very similar degree, and that a subtraction of two of the signal from

two of those networks would largely cancel out the noise. This, however, remains a theoretical argument at this point, which needs to be further detailed and specifically tested in future research. In the current study, we consequently applied physiological noise correction to the offline fMRI analyses to further mitigate this issue (Glover et al., 2000; Birn et al., 2006; Shmueli et al., 2007; van Buuren et al., 2009).

A last novelty of the current study is the usage of fully individualized network masks to define the neurofeedback target regions. The new procedure relies on the assumption that the first principal component (or eigenvariate) of all voxel signals within a large network template represents the network's overall function better than a simple average signal, as it is less affected by individual differences in network topology. Since extracting the first principal component is inconvenient in a real-time setting, our procedure regresses the first principal component – extracted from standard templates during resting-state – back onto the individuals brain, in order to retrieve individualized masks from which the average signal can easily be extracted in real-time. Our results confirmed differences in exact network topology between individuals (Fig. 3) and showed that learning to self-regulate these individualized networks led to reliable changes in expected large-scale networks on a group level (Fig. 6). Nevertheless, more research will be needed to fully assess the benefits of this individualization procedure over standard group template approaches.

In conclusion, the current study constitutes an important first successful demonstration of neurofeedback training based on stress-related large-scale network balance – a novel approach that has the potential to train control over the central response to stressors in real-life situations outside of the MRI scanner, opening up new potential clinical approaches to changing maladaptive stress responses – the underlying mechanism of a large variety of mental disorders (de Kloet et al., 2005) – and to promote resilience (Kalisch et al., 2017, 2015).

7. Data and code availability

Scripts used during real-time neurofeedback training, as well as scripts for reproducing the reported self-regulation performance, self-evaluation, peripheral recordings, and full-brain analyses are openly available in the Open Science Framework at <https://osf.io/sh2ck>. Pseudonymized data will be available on request from the Donders Repository at <https://data.donders.ru.nl>. Raw MR images are not publicly available due to privacy or ethical restrictions.

Credit authorship contribution statement

Florian Krause: Conceptualization, Software, Formal analysis, Writing – original draft, Methodology, Investigation, Visualization, Project administration. **Nikos Kogias:** Conceptualization, Software, Investigation, Visualization, Writing – review & editing. **Martin Krentz:** Conceptualization, Writing – review & editing. **Michael Lührs:** Investigation, Software, Writing – review & editing. **Rainer Goebel:** Software, Resources, Writing – review & editing. **Erno J. Hermans:** Conceptualization, Writing – review & editing, Supervision, Funding acquisition.

Acknowledgments

This work was supported by a grant from the European Research Council (ERC-2015-CoG 682591). We would like to thank Rayyan Tunjji and Milette Dufour for their valuable input during the planning stage of this study.

Supplementary materials

Supplementary material associated with this article can be found, in the online version, at [doi:10.1016/j.neuroimage.2021.118527](https://doi.org/10.1016/j.neuroimage.2021.118527).

References

- Abraham, A., Pedregosa, F., Eickenberg, M., Gervais, P., Mueller, A., Kossaifi, J., Gramfort, A., Thirion, B., Varoquaux, G., 2014. Machine learning for neuroimaging with scikit-learn. *Front. Neuroinf.* 8, 14. doi:10.3389/fninf.2014.00014.
- Akiki, T.J., Averill, C.L., Abdallah, C.G., 2017. A network-based neurobiological model of PTSD: evidence from structural and functional neuroimaging studies. *Curr. Psychiatry Rep.* 19 (11), 81. doi:10.1007/s11920-017-0840-4.
- Avants, B.B., Epstein, C.L., Grossman, M., Gee, J.C., 2008. Symmetric diffeomorphic image registration with cross-correlation: evaluating automated labeling of elderly and neurodegenerative brain. *Med. Image Anal.* 12 (1), 26–41. doi:10.1016/j.media.2007.06.004.
- Bauer, C.C.C., Okano, K., Gosh, S.S., Lee, Y.J., Melero, H., Angeles, C.de los, Nestor, P.G., del Re, E.C., Northoff, G., Niznikiewicz, M.A., Whitfield-Gabrieli, S., 2020. Real-time fMRI neurofeedback reduces auditory hallucinations and modulates resting state connectivity of involved brain regions: part 2: default mode network -preliminary evidence. *Psychiatry Res.* 284, 112770. doi:10.1016/j.psychres.2020.112770.
- Beck, A. T., Steer, R. A., & Brown, G. K. (1996). Beck depression inventory-ii. *San Antonio, 78 (2)*, 490–498.
- Benedek, M., Kaernbach, C., 2010. A continuous measure of phasic electrodermal activity. *J. Neurosci. Methods* 190 (1), 80–91.
- Bijsterbosch, J., Smith, S., Bishop, S.J., 2015. Functional connectivity under anticipation of shock: correlates of trait anxious affect versus induced anxiety. *J. Cogn. Neurosci.* 27 (9), 1840–1853. doi:10.1162/jocn.a.00825.
- Birn, R.M., Diamond, J.B., Smith, M.A., Bandettini, P.A., 2006. Separating respiratory-variation-related fluctuations from neuronal-activity-related fluctuations in fMRI. *Neuroimage* 31 (4), 1536–1548. doi:10.1016/j.neuroimage.2006.02.048.
- Carver, C.S., White, T.L., 1994. Behavioral inhibition, behavioral activation, and affective responses to impending reward and punishment: the bis/bas scales. *J. Personal. Soc. Psychol.* 67 (2), 319.
- De Kloet, E.R., Joëls, M., Holsboer, F., 2005. Stress and the brain: from adaptation to disease. *Nat. Rev. Neurosci.* 6 (6), 463.
- Esteban, O., Markiewicz, C.J., Blair, R.W., Moodie, C.A., Isik, A.I., Erramuzpe, A., Kent, J.D., Goncalves, M., DuPre, E., Snyder, M., Oya, H., Ghosh, S.S., Wright, J., Durnez, J., Poldrack, R.A., Gorgolewski, K.J., 2018. fMRIPrep: a robust preprocessing pipeline for functional MRI. *Nat. Methods* doi:10.1038/s41592-018-0235-4.
- Esteban, O., Markiewicz, C.J., Goncalves, M., DuPre, E., Kent, J.D., Ciric, R., Blair, R.W., Poldrack, R.A., Gorgolewski, K.J., 2020. fMRIPrep: a robust preprocessing pipeline for functional MRI. *Zenodo* doi:10.5281/zenodo.3876458.
- Fonov, V.S., Evans, A.C., McKinstry, R.C., Almlri, C.R., Collins, D.L., 2009. Unbiased non-linear average age-appropriate brain templates from birth to adulthood. *Neuroimage* 47 (1), S102. doi:10.1016/S1053-8119(09)70884-5.
- Fox, M.D., Snyder, A.Z., Vincent, J.L., Corbetta, M., Van Essen, D.C., Raichle, M.E., 2005. From the cover: the human brain is intrinsically organized into dynamic, anticorrelated functional networks. *Proc. Natl. Acad. Sci.* 102 (27), 9673–9678. doi:10.1073/pnas.0504136102.
- Garnefski, N., Kraaij, V., Spinoven, P., 2001. Negative life events, cognitive emotion regulation and emotional problems. *Personal. Individ. Differ.* 30 (8), 1311–1327.
- Glover, G.H., Li, T.Q., Ress, D., 2000. Image-based method for retrospective correction of physiological motion effects in fMRI: RETROICOR. *Magn. Reson. Med.* 44 (1), 162–167. doi:10.1002/1522-2594(200007)44:1<162::aid-mrm23>3.0.co;2-e.
- Gorgolewski, K., Burns, C.D., Madison, C., Clark, D., Halchenko, Y.O., Waskom, M.L., Ghosh, S.S., 2011. Nipype: a flexible, lightweight and extensible neuroimaging data processing framework in python. *Front. Neuroinform.* 5, 13. doi:10.3389/fninf.2011.00013.
- Gorgolewski, K.J., Esteban, O., Ellis, D.G., Notter, M.P., Ziegler, E., Johnson, H., Hamalainen, C., Yvernault, B., Burns, C., Manhães-Savio, A., Jarecka, D., Markiewicz, C.J., Salo, T., Clark, D., Waskom, M., Wong, J., Modat, M., Dewey, B.E., Clark, M.G., Dayan, M., Ghosh, S., 2017. Nipype: a flexible, lightweight and extensible neuroimaging data processing framework in Python. *Zenodo* doi:10.5281/zenodo.581704.
- Greve, D.N., Fischl, B., 2009. Accurate and robust brain image alignment using boundary-based registration. *Neuroimage* 48 (1), 63–72. doi:10.1016/j.neuroimage.2009.06.060.
- Hamilton, J.P., Glover, G.H., Bagarinao, E., Chang, C., Mackey, S., Sacchet, M.D., Gotlib, I.H., 2016. Effects of salience-network-node neurofeedback training on affective biases in major depressive disorder. *Psychiatry Res.* 249, 91–96. doi:10.1016/j.psychres.2016.01.016.
- Hermans, E.J., van Marle, H.J.F., Ossewaarde, L., Henckens, M.J.A.G., Qin, S., van Kesteren, M.T.R., Schoots, V.C., Cousijn, H., Rijpkema, M., Oostenveld, R., Fernandez, G., 2011. Stress-related noradrenergic activity prompts large-scale neural network reconfiguration. *Science* 334 (6059), 1151–1153. doi:10.1126/science.1209603.
- Hermans, E.J., Henckens, M.J.A.G., Joëls, M., Fernández, G., 2014. Dynamic adaptation of large-scale brain networks in response to acute stressors. *Trends Neurosci.* 37 (6), 304–314. doi:10.1016/j.tins.2014.03.006.
- Jenkinson, M., Bannister, P., Brady, M., Smith, S., 2002. Improved optimization for the robust and accurate linear registration and motion correction of brain images. *Neuroimage* 17 (2), 825–841. doi:10.1006/nimg.2002.1132.
- Johnson, M.D., Lim, H.H., Netoff, T.I., Connolly, A.T., Johnson, N., Roy, A., Holt, A., Lim, K.O., Carey, J.R., Vitek, J.L., He, B., 2013. Neuromodulation for brain disorders: challenges and opportunities. *IEEE Trans. Biomed. Eng.* 60 (3), 610–624. doi:10.1109/TBME.2013.2244890.
- Kalisch, R., Müller, M.B., Tüscher, O., 2015. A conceptual framework for the neurobiological study of resilience. *Behav. Brain Sci.* 38. doi:10.1017/S0140525X1400082X.

- Kalisch, R., Baker, D.G., Basten, U., Boks, M.P., Bonanno, G.A., Brummelman, E., Chmirtorz, A., Fernández, G., Fiebach, C.J., Galatzer-Levy, I., Geuze, E., Groppa, S., Helmreich, I., Hendler, T., Hermans, E.J., Jovanovic, T., Kubiak, T., Lieb, K., Lutz, B., Kleim, B., 2017. The resilience framework as a strategy to combat stress-related disorders. *Nat. Hum. Behav.* 1 (11), 784–790. doi:10.1038/s41562-017-0200-8.
- Kim, H.C., Tegethoff, M., Meinschmidt, G., Stalujanis, E., Belardi, A., Jo, S., Lee, J., Kim, D.Y., Yoo, S.S., Lee, J.H., 2019. Mediation analysis of triple networks revealed functional feature of mindfulness from real-time fMRI neurofeedback. *Neuroimage* 195, 409–432. doi:10.1016/j.neuroimage.2019.03.066.
- Krause, F., Benjamins, C., Eck, J., Lühns, M., van Hoof, R., Goebel, R., 2019. Active head motion reduction in magnetic resonance imaging using tactile feedback. *Human Brain Mapping* 40 (14), 4026–4037. doi:10.1002/hbm.24683.
- Krause, F., Lindemann, O., 2014. Expyriment: a Python library for cognitive and neuroscientific experiments. *Behav. Res. Methods* 46 (2), 416–428. doi:10.3758/s13428-013-0390-6.
- Maples-Keller, J.L., Williamson, R.L., Sleep, C.E., Carter, N.T., Campbell, W.K., Miller, J.D., 2019. Using item response theory to develop a 60-item representation of the neo pi-r using the international personality item pool: development of the ipip-neo-60. *J. Pers. Assess.* 101 (1), 4–15.
- Mayeli, A., Misaki, M., Zotev, V., Tsuchiyagaito, A., Al Zoubi, O., Phillips, R., Smith, J., Stewart, J.L., Refai, H., Paulus, M.P., Bodurka, J., 2020. Self-regulation of ventromedial prefrontal cortex activation using real-time fMRI neurofeedback-Influence of default mode network. *Hum. Brain Mapp.* 41 (2), 342–352. doi:10.1002/hbm.24805.
- McMenamin, B.W., Langeslag, S.J.E., Sirbu, M., Padmala, S., Pessoa, L., 2014. Network organization unfolds over time during periods of anxious anticipation. *J. Neurosci.* 34 (34), 11261–11273. doi:10.1523/JNEUROSCI.1579-14.2014.
- Menon, V., 2011. Large-scale brain networks and psychopathology: a unifying triple network model. *Trends Cogn. Sci.* 15 (10), 483–506. doi:10.1016/j.tics.2011.08.003.
- Millman, K.J., Brett, M., 2007. Analysis of functional magnetic resonance imaging in Python. *Comput. Sci. Eng.* 9 (3), 52–55. http://dx.doi.org/10.1109/MCSE.2007.46.
- Pamplona, G.S.P., Heldner, J., Langner, R., Koush, Y., Michels, L., Ionta, S., Scharnowski, F., Salmon, C.E.G., 2020. Network-based fMRI-neurofeedback training of sustained attention. *Neuroimage* 221, 117194. doi:10.1016/j.neuroimage.2020.117194.
- Phelps, E.A., O'Connor, K.J., Gatenby, C., Gore, J.C., Grillon, C., Davis, M., 2001. Activation of the left amygdala to a cognitive representation of fear. *Nat. Neurosci.* 4 (2001), 437–441. doi:10.1038/86110.
- Pruim, R.H.R., Mennes, M., van Rooij, D., Llera, A., Buitelaar, J.K., Beckmann, C.F., 2015. ICA-AROMA: A robust ICA-based strategy for removing motion artifacts from fMRI data. *NeuroImage* 112, 267–277. doi:10.1016/j.neuroimage.2015.02.064.
- Rehm, J., Shield, K.D., 2019. Global burden of disease and the impact of mental and addictive disorders. *Curr. Psychiatry Rep.* 21 (2), 10. doi:10.1007/s11920-019-0997-0.
- Scharnowski, F., Veit, R., Zopf, R., Studer, P., Bock, S., Diedrichsen, J., Goebel, R., Mathiak, K., Birbaumer, N., Weiskopf, N., 2015. Manipulating motor performance and memory through real-time fMRI neurofeedback. *Biological Psychology* 108, 85–97. doi:10.1016/j.biopsycho.2015.03.009.
- Seeley, W.W., Menon, V., Schatzberg, A.F., Keller, J., Glover, G.H., Kenna, H., Reiss, A.L., Greicius, M.D., 2007. Dissociable intrinsic connectivity networks for salience processing and executive control. *J. Neurosci.* 27 (9), 2349–2356. doi:10.1523/JNEUROSCI.5587-06.2007.
- Shirer, W.R., Ryali, S., Rykhlevskaia, E., Menon, V., Greicius, M.D., 2012. Decoding Subject-Driven Cognitive States with Whole-Brain Connectivity Patterns. *Cerebral Cortex* 22 (1), 158–165. doi:10.1093/cercor/bhr099.
- Shmueli, K., van Gelderen, P., de Zwart, J.A., Horowitz, S.G., Fukunaga, M., Jansma, J.M., Duyn, J.H., 2007. Low-frequency fluctuations in the cardiac rate as a source of variance in the resting-state fMRI BOLD signal. *Neuroimage* 38 (2), 306–320. doi:10.1016/j.neuroimage.2007.07.037.
- Sitaram, R., Ros, T., Stoekel, L., Haller, S., Scharnowski, F., Lewis-Peacock, J., Weiskopf, N., Bolefari, M.L., Rana, M., Oblak, E., Birbaumer, N., Sulzer, J., 2017. Closed-loop brain training: the science of neurofeedback. *Nat. Rev. Neurosci.* 18 (2), 86–100. doi:10.1038/nrn.2016.164.
- Smith, S.M., Jenkinson, M., Woolrich, M.W., Beckmann, C.F., Behrens, T.E., Johansen-Berg, H., Bannister, P.R., De Luca, M., Drobnjak, I., Flitney, D.E., Niazy, R.K., Saunders, J., Vickers, J., Zhang, Y., De Stefano, N., Brady, J.M., Matthews, P.M., 2004. Advances in functional and structural MR image analysis and implementation as FSL. *Neuroimage* 23 (Suppl 1), S208–S219. doi:10.1016/j.neuroimage.2004.07.051.
- Spielberger, C.D., Gorsuch, R.L., Lushene, R.E., 1968. *State-trait Anxiety Inventory (STAI): Test Manual for Form x. Consulting Psychologists Press.*
- Sulzer, J., Haller, S., Scharnowski, F., Weiskopf, N., Birbaumer, N., Bolefari, M.L., Bruehl, A., Cohen, L.G., DeCharms, R.C., Gassert, R., Goebel, R., Herwig, U., LaConte, S., Linden, D., Luft, A., Seifritz, E., Sitaram, R., 2013. Real-time fMRI neurofeedback: progress and challenges. *Neuroimage* 76, 386–399. doi:10.1016/j.neuroimage.2013.03.033.
- Thibault, R.T., MacPherson, A., Lifshitz, M., Roth, R.R., Raz, A., 2017. Neurofeedback with fMRI: a critical systematic review. *Neuroimage* doi:10.1016/j.neuroimage.2017.12.071.
- Tustison, N.J., Avants, B.B., Cook, P.A., Zheng, Y., Egan, A., Yushkevich, P.A., Gee, J.C., 2010. N4ITK: improved N3 bias correction. *IEEE Trans. Med. Imaging* 29 (6), 1310–1320. doi:10.1109/TMI.2010.2046908.
- Vallat, R., 2018. Pingouin: statistics in Python. *J. Open Source Softw.* 3 (31), 1026. doi:10.21105/joss.01026.
- van Buuren, M., Gladwin, T.E., Zandbelt, B.B., van den Heuvel, M., Ramsey, N.F., Kahn, R.S., Vink, M., 2009. Cardiorespiratory effects on default-mode network activity as measured with fMRI. *Hum. Brain Mapp.* 30 (9), 3031–3042. doi:10.1002/hbm.20729.
- Vassena, E., Silveti, M., Boehler, C.N., Achten, E., Fias, W., Verguts, T., 2014. Overlapping neural systems represent cognitive effort and reward anticipation. *PLoS One* 9 (3), e91008. doi:10.1371/journal.pone.0091008.
- van Oort, J., Tendolcar, I., Hermans, E.J., Mulders, P.C., Beckmann, C.F., Schene, A.H., Fernández, G., van Eijndhoven, P.F., 2017. How the brain connects in response to acute stress: a review at the human brain systems level. *Neurosci. Biobehav. Rev.* 83, 281–297. doi:10.1016/j.neubiorev.2017.10.015, (October).
- Vincent, J.L., Kahn, I., Snyder, A.Z., Raichle, M.E., Buckner, R.L., 2008. Evidence for a frontoparietal control system revealed by intrinsic functional connectivity. *J. Neurophysiol.* 100 (6), 3328–3342. doi:10.1152/jn.90355.2008.
- Vos, T., Abajibir, A.A., Abate, K.H., Abbafati, C., Abbas, K.M., Abd-Allah, F., Abdulkader, R.S., Abdulle, A.M., Abebo, T.A., Abera, S.F., Aboyans, V., Abu-Raddad, L.J., Ackerman, I.N., Adamu, A.A., Adetokunbo, O., Afarideh, M., Afshin, A., Agarwal, S.K., Aggarwal, R., Murray, C.J.L., 2017. Global, regional, and national incidence, prevalence, and years lived with disability for 328 diseases and injuries for 195 countries, 1990–2016: a systematic analysis for the global burden of disease study 2016. *Lancet North Am. Ed.* 390 (10100), 1211–1259. doi:10.1016/S0140-6736(17)32154-2.
- Watanabe, T., Sasaki, Y., Shibata, K., Kawato, M., 2017. Advances in fMRI real-time neurofeedback. *Trends Cogn. Sci.* 21 (12), 1–14. doi:10.1016/j.tics.2017.09.010.
- Wells, A., Davies, M.I., 1994. The thought control questionnaire: a measure of individual differences in the control of unwanted thoughts. *Behav. Res. Ther.* 32 (8), 871–878.
- Weiss, F., Zamoscik, V., Schmidt, S.N.L., Halli, P., Kirsch, P., Gerchen, M.F., 2020. Just a very expensive breathing training? Risk of respiratory artefacts in functional connectivity-based real-time fMRI neurofeedback. *Neuroimage* 210, 116580. doi:10.1016/j.neuroimage.2020.116580.
- Westbrook, A., Braver, T.S., 2015. Cognitive effort: a neuroeconomic approach. *Cogn. Affect. Behav. Neurosci.* 15 (2), 395–415. doi:10.3758/s13415-015-0334-y.
- Young, C.B., Raz, G., Everaerd, D., Beckmann, C.F., Tendolcar, I., Hendler, T., Fernández, G., Hermans, E.J., 2017. Dynamic shifts in large-scale brain network balance as a function of arousal. *J. Neurosci.* 37 (2), 281–290. doi:10.1523/JNEUROSCI.1759-16.2017.
- Zhang, Y., Brady, M., Smith, S., 2001. Segmentation of brain MR images through a hidden Markov random field model and the expectation-maximization algorithm. *IEEE Trans. Med. Imaging* 20 (1), 45–57. doi:10.1109/42.906424.